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10	SUPERIOR COURT OF THE STATE OF CALIFORNIA	
11	FOR THE COUNTY OF LOS ANGELES	
12 13	COORDINATED PROCEEDING SPECIAL TITLE (Rule 1550(b))) JUDICIAL COUNCIL COORDINATION) PROCEEDING NO. 4408
14	ANTELOPE VALLEY GROUNDWATER CASES)))
15 16	Included Actions:) PLAINTIFF WILLIS' PARTIAL OBJECTION TO PUBLIC WATER SUPPLIERS' MOTION
17	REBECCA LEE WILLIS, on behalf of herself and all others similarly situated,) TO AMEND CLASS CERTIFICATION) ORDER
18	Plaintiff,	
19	vs.))
20	LOS ANGELES COUNTY WATERWORKS DISTRICT NO. 40; et al.,	Hearing:
21	Defendants.) Date: March 3, 2008
22	Los Angeles County Superior Court	Time: 10:00 a.m. Place: Dept. 1 (L.A. Super. Ct.)
23	Case No. BC 364 553) Judge: Hon. Jack Komar
24	AND RELATED ACTIONS))
25		
26	Class representative Rebecca Lee Willis respectfully submits this memorandum in partial	
27	objection to the Public Water Supplier's Motion to Modify the Class Certification Order entered by	
28	this Court on September 11, 2007 ("the September Order"). The September Order certified a class	

consisting of Antelope Valley Basin ("Basin") landowners who do not pump water on their properties. The Suppliers now seeks to modify the definition of the Class to encompass overlying landowners who pump modest amounts of water on their properties ("the Expanded Class").

Plaintiff Willis generally supports the Suppliers' Motion, but believes that the Court should more narrowly define the issues as to which the Expanded Class is appropriate. In addition, Willis maintains that the Order certifying the Expanded Class should expressly limit Class Counsel's settlement activities to representing the existing Class of non-pumpers. A proposed form of Order embodying these limitations is attached hereto.

I. INTRODUCTION

The class action device is a procedural mechanism designed to aid the courts and litigants resolve disputes involving large numbers of similarly situated persons. Class certification in this case will further the traditional goals of Class litigation by (1) facilitating the Court's handling of a large number of litigants and (2) affording people with modest amounts at stake, who otherwise could not afford to participate in the litigation, a means to participate collectively to protect their common interests. Moreover, in this case, class certification is especially critical because there is an overriding public need to achieve a truly comprehensive adjudication of the groundwater rights in the Antelope Valley Basin ("the Basin"). The latter factor mandates modification of the existing Class of non-pumpers to include small pumpers, at least for purposes of the preliminary issues of the characteristics and yield of the Basin and opposing the prescription claims of the public water suppliers, issues that are common to the Class of overlying landowners.

On September 11, 2007, the Court certified the following Class:

"All private (i.e., non-governmental) persons and entities that own real property within the Basin, as adjudicated, that are not presently pumping water on their property and did not do so at any time during the five years preceding January 18, 2006 ("the Class"). The Class includes the successors-in-interest by way of purchase, gift, inheritance, or otherwise of such landowners.

The Class excludes the defendants herein, any person, firm, trust, corporation, or other entity in which any defendant has a controlling interest or which is related to or affiliated with any of the defendants, and the representatives, heirs, affiliates, successors-in-interest or assigns of any such excluded party. The Class also excludes all persons to the extent their properties are connected to a municipal water system, public utility, or mutual water company from which they receive or are able to

receive water service, as well as owners of properties within the service areas of the foregoing water purveyors as to which there is a water system agreement or water service agreement providing for the provision of water service by such purveyors."

Over the course of the last several hearings in this matter, the parties and Court have engaged in extensive discussions regarding (1) the need to move this litigation forward towards trial of certain preliminary issues and (2) the need to have a comprehensive adjudication of water rights in the Basin, which is binding on the large number of overlying landowners who pump relatively modest amounts of water on their properties. Although the parties hoped that a "small pumper" would step forward to represent that group, that has not happened. Given that fact, the public interest in achieving a complete and binding adjudication of the water rights in the Basin requires modifying the existing Class and expanding it to include all overlying landowners not presently in the litigation, at least for purposes of adjudicating the preliminary issues of the characteristics of the Basin and the prescription claims of the public water suppliers.

There are a very large number of overlying landowners in the Basin. Generally speaking, there are three types of such landowners who are not presently participating in the litigation – (1) persons who live on very small tracts (generally within the cities) and get their water from the Municipal Suppliers; (2) persons who own somewhat larger tracts and do not pump groundwater on their property (essentially the existing Willis Class); and (3) owners of small and modest sized tracts who have pumped modest amounts of water on their properties. The first group identified above should not be brought into this litigation as the likelihood of those persons drilling a well and materially impacting the Basin's water levels is so remote that it can and should be disregarded. The second group is encompassed by the existing Class Certification Order. But the third group identified above must be brought into this litigation in order to have a comprehensive and binding resolution. The parties have identified only two ways to bring such persons into this litigation – class certification or individually serving this large group of modest sized landowners. As discussed

The only exception would be if the Court concluded that it must assert jurisdiction over such persons under the McCarran Amendment. Willis expresses no opinion on that.

below, individually serving those persons would simply delay and complicate this already protracted and complex case.

II. <u>ARGUMENT</u>

A. MODIFICATION OF A CLASS CERTIFICATION ORDER IS AUTHORIZED

It is well established that the Court may modify a Class Certification Order during the pendency of the litigation, when that is appropriate. California Rule of Court 3.764(a) provides that "any party may file a motion to . . . [a]mend or modify an order certifying a class." As explained below, such modification is appropriate here.

B. MODIFICATION OF THE CLASS DEFINITION IS IMPERATIVE TO ADVANCE THIS LITIGATION.

There are two fundamental facts that warrant modification of the Class to include overlying landowners who pump modest amounts of water on their parcels. First and foremost, all parties share an overriding interest in achieving a comprehensive resolution that determines rights to use the Basin's groundwater. The only practical way to achieve that is by modifying the proposed Class. Serving thousands of small pumpers is not a practical alternative. It would take an extraordinary amount of time and effort to identify and serve such persons, and the litigation would be greatly delayed and become even more difficult to manage with the addition of those many new parties.

Second, as a practical matter, the great majority of those persons cannot afford to participate individually in this complex and expensive litigation. Thus, it is not in their interests any more than it is in the existing parties' interests to serve them individually. Of course, any such persons who wish to participate individually can and will opt out of the Class. But Class certification affords them the opportunity to participate collectively in this complicated and expensive case.

In short, it is clear that modifying the definition of the Class serves the Court's and parties' (and indeed, the public's) interests in achieving a comprehensive resolution of water rights in the Basin and facilitating the Court's handling of many similar claims; at the same time, certification affords modest landowners a mechanism to participate in a case which involves important interests, but which would be prohibitively expensive for most of them to litigate on an individual basis.

C. AT LEAST AS TO THE PRELIMINARY ISSUES IN THIS LITIGATION, THERE ARE NO CONFLICTS AMONG THE MEMBERS OF THE MODIFIED CLASS.

Although the interests of the "small pumpers" may diverge from those of the non-pumpers at some point, at least for purposes of the preliminary issues regarding the characteristics of the Basin and opposing the Supplier's prescription claims, their interests are common. It is well established that a Court can certify a Class for resolution of specific issues, where, as here, that makes sense. Court Rule 3.765 expressly provides that "[w]hen appropriate, an action may be maintained as a class action limited to particular issues."

Plaintiff recognizes that the interests of the small pumpers may diverge from those of the non-pumpers at later stages of this litigation. Further, Plaintiff Willis agrees that she and her counsel should not represent the small pumper group for purposes of any settlement negotiations. Accordingly, the accompanying proposed Order narrowly defines the issues that are appropriate for decision on a class wide basis.

Moreover, it is highly relevant that there are many large landowners who pump groundwater who are actively participating in this litigation. To the extent the interests of the small pumpers diverge from those of the non-pumpers, the reality is that the "pumper interests" are already being aggressively advanced and protected. Thus, there is no need for Class counsel to represent those persons in settlement negotiations. The interests of pumpers are already well represented in such negotiations and the small pumpers in the Class will presumably be treated similarly to other pumpers. Further, to the extent that the Court deems it necessary, it can appoint separate counsel to represent the pumpers in any such negotiations.

It is well established that "only a conflict that goes to the very subject matter of the litigation will defeat a party's claim of representative status." *Richmond*, *supra*, 29 Cal.3d at 470. At least as to the preliminary issues before the Court – defining the characteristics of the Basin and opposing the Suppliers' prescription claims – there is no such conflict. There is no evidence that Willis has any conflicting interests which go to the very subject matter of this action. Moreover, Willis intends to vigorously prosecute the claims on behalf of the class.

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D. THE SUPPLIERS' MOTION IS TOO BROAD

The Suppliers' Motion is too broad in three respects. First, the Suppliers seek to modify the Class definition as to issues beyond the preliminary matters discussed above. Some of the later issues may present actual conflicts between the pumper and non-pumper groups. There is no reason to certify a Class now as to those later issues. Hopefully, a representative of the "small pumpers" will step forward before the Court reaches those issues. Second, the Suppliers' Motion should exclude from the class those persons who are presently participating in this litigation through counsel. Because those persons are already participating in this matter and generally have a more significant stake, they need not and should not be included in the revised Class. Third, as discussed above, Willis maintains that she and her counsel should not represent the "small pumpers" in any settlement negotiations. The potential for conflicts in that setting is too significant.

IV. CONCLUSION

For the reasons stated above, the Court should grant the Suppliers's Motion to Modify the Class Certification Order, but should limit the breadth of the certification ruling in accord with Willis' comments above, as reflected in the attached Proposed Order.

Dated: February 15, 2008

KRAUSE KALFAYAN BENINK & SLAVENS LLP

Ralph B. Kalfayan, Esq. David B. Zlotnick, Esq.

Attorneys for Plaintiff and the Class

PROOF OF SERVICE

I, David Zlotnick, declare:

I am a resident of the State of California and over the age of eighteen years, and not a party to the within action; my business address is 625 Broadway, Suite 635, San Diego, California, 92101. On **February 15, 2008**, I served the within document(s):

PLAINTIFF WILLIS' PARTIAL OBJECTION TO PUBLIC WATER SUPPLIERS' MOTION TO AMEND CLASS CERTIFICATION ORDER

- [X] by posting the document(s) listed above to the Santa Clara County Superior Court website in regard to the Antelope Valley Groundwater matter.
- [] by placing the document(s) listed above in a sealed envelope with postage thereon fully prepaid, in the United States mail at San Diego, California addressed as set forth below:
- [] by causing personal delivery by Cal Express of the document(s) listed above to the person(s) at the address(es) set forth below.
- [] by personally delivering the document(s) listed above to the person(s) at the address(es) set forth below.
- [] I caused such envelope to be delivered via overnight delivery addressed as indicated on the attached service list. Such envelope was deposited for delivery by UPS following the firm's ordinary business practices.

I am readily familiar with the firm's practice of collection and processing correspondence for mailing. Under that practice it would be deposited with the U.S. Postal Service on that same day with the postage thereon fully prepaid in the ordinary course of business. I am aware that on motion of the party served, service is presumed invalid if postal cancellation date or postage meter date is more than one day after date of deposit for mailing in affidavit.

I declare under penalty of perjury under the laws of the State of California that the above is true and correct.

Executed on February 15, 2008, at San Diego, California.

David Zlotnick/